A. G. Contract No.KR97-1874TRN ADOT ECS File: JPA 97-139

Project: RRS-STP-000-6(42)P TRACS: SR040 01C

Section: Mohave St/3rd St @ SPTCRR

AAR/DOT No. 741-499-B

#### INTERGOVERNMENTAL AGREEMENT

BETWEEN

THE STATE OF ARIZONA AND

80395

THE CITY OF PHOENIX

## I. RECITALS

- 1. The State is empowered by Arizona Revised Statutes Section 28-108 and 28-112 to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has delegated to the undersigned the authority to execute this agreement on behalf of the State.
- 2. The City is empowered by Arizona Revised Statutes Section 48-572 and Chapter 2, Section 2 of the Phoenix City Charter to enter into this agreement and has by resolution, a copy of which is attached hereto and made a part hereof, resolved to enter into this agreement and has authorized the undersigned to execute this agreement on behalf of the City.
- 3. The Arizona Corporation Commission is empowered by Arizona Revised Statutes Section 40-337 to participate in the funding of this project, and has authorized funds for this project.
- 4. Congress has authorized appropriations for the erection of automatic warning signals, automatic gate arms, plank crossings, pavement markings, and other appurtenances.

NO. 2/945
Filed with the Secretary of State
Date Filed: 10/02/9/

Societary of State

By:\_

- 5. Such project within the boundary of the City has been selected by the City; the field survey of the project has been completed; and the plans, estimates and specifications have been prepared and, as required, submitted to the Federal Highway Administration ("FHWA") for its approval.
- 6. The only interest of the State in the project is in the acquisition of federal funds for the use and benefit of the City by reason of federal law and regulations under which funds for the project are authorized to be expended.
- 7. The work embraced in this agreement and the estimated cost are as follows: Upgrade Railroad Crossing

Preliminary and Construction Engineering Furnish and Install Flashing Lights and	\$ 6,000.00
Gates (by railroad forces)	\$ 116,515.00
Total Protection Work	\$ 122,515.00
Furnish and Timber Crossing	
Surface (by railroad forces)	\$ 57,893.00
Total Roadway Work	\$ 57,893.00
Total Project	\$ 180,408.00
Federal Aid Funds @ 90% of \$6,000.00	\$ 5,400.00
Federal Aid Funds @ 94.3% of \$174,408.00	\$ 164,467.00
AZ Corp. Comm. Funds @ 10% of \$6,000.00	\$ 600.00
AZ Corp. Comm. Funds @ 5.7% of \$116,515.00	\$ 6,641.00
City Funds @ 5.7% of \$57,893.00	\$ 3,300.00

THEREFORE, in consideration of the mutual covenants expressed herein, it is agreed as follows:

## II. SCOPE OF WORK

- 1. The State shall submit a program containing the aforementioned project to FHWA with the recommendation that it be approved for construction.
- a. If such project is approved for construction by FHWA and the funds are available for construction of the project, the State with the aid and consent of FHWA will authorize the Railroad Company to proceed with the work covered by the State-Railroad Agreement and will request the federal funds.
- b. Should some unforeseen conditions or circumstances increase the cost of said work required by a change in the extent or scope of the work called for in this agreement, the State shall not be obligated to incur any expenditure in excess of the amount of the City's deposit unless and until so authorized in writing by the City.
- 2. The City shall acquire, without cost to the State, the necessary right-of-way for this project and hereby certifies that all necessary rights-of-way have been or will be acquired.

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- 3. Once acquired, the City shall remove from the City right-of-way all unauthorized obstructions or unauthorized encroachments, either above or below the surface of the roadway, and hereby certifies that all obstructions and encroachments have been or will be removed therefrom.
- 4. Except as authorized by the City, the City shall not permit or allow any encroachments upon, or private use of, the City right of way. In the event of any unauthorized encroachment or improper use, the City shall take the reasonably necessary steps to remove or prevent any such encroachment or use. If the City has not removed said encroachments within 45 days of the written notice from the State, the State may proceed with the removal or prevention of said encroachments, the cost of such removal or prevention to be borne by the City.
- 5. Upon completion of construction, the City shall provide for, at its own cost and as an annual item in its budget, proper maintenance; such maintenance (exclusive of maintenance by the Railroad Company of its facilities) may include, but is not limited to, traffic signals, signs, islands, curbs and markings necessary for the purpose of regulating, warning and guiding traffic.
- 6. Railway-highway grade crossings signage and markins shall satisfy the requirements of the latest edition of the Manual on Uniform Traffic Control Devices for Streets and Highways. If the City has not marked said crossing as required within 45 days after the railroad has completed its work, the State may proceed with the marking and signing thereof, the cost of which shall be borne by the City.

## III. MISCELLANEOUS PROVISIONS

1. The State assumes no financial obligation or liability under this agreement, nor for any resulting construction project. The City, in regard to the City's relationship with the State only, assumes full responsibility for the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost over-runs and construction claims. Such assumption of the responsibility by the City for the benefit of the State in no way acts as a waiver by the City for the benefit of the railroad company or any responsibility the railroad company has in the design, plans, specifications, reports, the engineering in connection therewith and the construction of the improvements contemplated, cost overruns and construction claims. It is understood and agreed that the State's participation is confined solely to securing federal aid; that any damages arising from carrying out, in any respect, the terms of this agreement or any

modification thereof, shall be solely the liability of the City and that the City hereby agrees to save and hold harmless and indemnify from loss the State, any of its departments, agencies, officers or employees from any and all cost and/or damage incurred by any of the above and from any other damage to any person or property whatsoever, which is caused by any activity, condition, or event arising out of the performance or nonperformance of any provisions of this agreement by the State, any of its departments, agencies, officers and employees, the City, any of its agents, officers and employees, or any of its independent contractors. Costs incurred by the State, any of its departments, agencies, officers or employees shall include in the event of any action, court costs, expenses of litigation and attorneys' fees.

- 2. The cost of the design, construction and construction engineering work covered by this agreement is to be borne by FHWA, Arizona Corporation Commission and the City, each in the proportion prescribed or as fixed and determined by FHWA as stipulated in this agreement. Therefore, in the event that funds to match federal funds are not made available by the Corporation Commission, the City agrees to furnish and provide the State with City funds in an amount equal to the difference between the total cost of the work provided for in this agreement and the amount of federal aid received.
- 3. This agreement shall remain in force and effect until completion of the work herein embraced; provided, however, that any provisions in this agreement for maintenance shall be perpetual, unless assumed by another competent governmental entity.
- 4. This agreement shall become effective upon filing with the Secretary of State.
- 5. This agreement may be cancelled in accordance with Arizona Revised Statutes Section 38-511.
- 6. The provisions of Arizona Revised Statutes Section 35-214 are applicable to this contract.
- 7. In the event of any controversy which may arise out of this agreement, the parties hereto agree to abide by required arbitration as is set forth for public works contracts in Arizona Revised Statutes Section 12-1518.
- 8. All notices or demands upon any party to this agreement shall be in writing and shall be delivered in person or sent by mail addressed as follows:

Arizona Department of Transportation Joint Project Administration 205 South 17 Avenue, Room 222E, Mail Drop 616E Phoenix, AZ 85007

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City of Phoenix Street Transportation Director 200 W. Washington Street -5th floor Phoenix, AZ 85003-1611

9. Attached hereto and incorporated herein is the written determination of each party's legal counsel that the parties are authorized under the laws of this state to enter into this agreement and that the agreement is in proper form.

IN WITNESS WHEREOF, the parties have executed this agreement the day and year first above written.

CITY OF PHOENIX, A Municipal Corporation, FRANK FAIRBANKS, City Manager STATE OF ARIZONA
Department of Transportation

JAMES M. MATTESON, P.E. Street Transporation Director

PETER L. ENO

Contract Administrator

ATTEST:

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### RESOLUTION

BE IT RESOLVED on this 7th day of August 1997, that I, the undersigned LARRY S. BONINE, as Director of the Arizona Department of Transportation, have determined that it is in the best interests of the State of Arizona that the Department of Transportation, acting by and through the Intermodal Transportation Division, to enter into an agreement with the City of Phoenix for the purpose of defining responsibilities for the design, construction, and maintenance of improvements to Mohave Street @ 3rd St SPTCRR.

Therefore, authorization is hereby granted to draft said agreement which, upon completion, shall be submitted to the Contract Administrator for approval and execution.

for LARRY S. BONINE

Director

## APPROVAL OF THE PHOENIX CITY ATTORNEY

I have reviewed the above referenced proposed intergovernmental agreement, between the DEPARTMENT OF TRANSPORTATION, HIGHWAYS DIVISION, and the CITY OF PHOENIX and declare this agreement to be in proper form and within the powers and authority granted to the City under the laws of the State of Arizona.

DATED this SH day of September, 1997.

Midae D. Haver

ACTING City Attorney

## CITY OF PHOENIX, ARIZONA REQUEST FOR COUNCIL ACTION

Complete this form per O.P. 1.906 and A.R. 4.11.

ACTION REQUESTED	Formal Action: Bid Award License Application Other Ordinance Resolution_x Emergency Clause? _N (Y/N)		
SUBJECT	COUNCIL DISTRICT NO. 8 - AGREEMENT BETWEEN THE ARIZONA DEPARTMENT OF TRANSPORTATION (ADOT) AND THE CITY OF PHOENIX FOR IMPROVEMENTS TO THE RAILROAD CROSSING ON MOHAVE STREET AND 3RD STREET (NOTE: Include the word DISTRICT or CITYWIDE in Subject.)		
PREPARED BY	Name: <u>Daniel P. Matthews</u> Phone: <u>2-4057</u> WP Doc: <u>iga9712.rca</u> Backup being sent under separate cover? (Y/N)		
RECOMMENDED BY	Department Name: Street Transportation  Date Prepared: 08/22/97 Div. Approval: J. Donald Herp, P.E.  Req. Agenda Date: 09/03/97 Dept Approval: James H. Matteson, P.E.  If prepared for a different department:  Dept. Name/Approval:		
BID AWARD/ FORMAL ACTION	Bid Bond Required? Performance Bond Required? Amount? \$ Contract Required? Requisition No Current Contract No:		
	Contract Amendment? Current Contract No: Approved by: Ord FA on Date:		
BUDGET \$ INFORMATION	To Be Encumbered? N (Y/N)  3,300.00 Fiscal Year?  Source of Funds: Railroad Crossing Improvements  Index Code (s): P-836494  Subobject(s): 4702  Availability of Funds Approval: Tim Everill		
	CITY MANAGER'S OFFICE		
Approved by: 9	Jim Matteson for Britton 8/26 C.M Control Number9		
RECORDS SECTION	CITY CLERK DEPARTMENT  ON File Number: F-2766/6222 RCA Number: 21360 Ordinance Number: Resolution Number: 18966 This item was: Adopted Contract Number (if applicable): 80395 Comments:		
COUNCIL SUPPOR	RT Agenda Date: 9/3/97 ITEM NUMBER: 26		

COUNCIL DISTRICT NO. 8 - AGREEMENT BETWEEN THE ARIZONA DEPARTMENT OF TRANSPORTATION (ADOT) AND THE CITY OF PHOENIX FOR IMPROVEMENTS TO THE RAILROAD CROSSING ON MOHAVE STREET AND 3RD STREET

Request to authorize the City Manager to enter into an agreement with the State of Arizona through the Arizona Department of Transportation to furnish and install Flashing Lights, Gates, and a Timber Grade Crossing at the Railroad Crossing on Mohave Street and 3rd Street. The work will be done by railroad forces and City of Phoenix forces using Federal Funds, Arizona Corporation Commission Funds, and City of Phoenix Railroad Crossing Improvement Funds. The cost to the City of Phoenix for this work is \$3,300.00.

The City will provide all right-of-way for this project at no cost to the State. The City also will remove all obstructions and encroachments from the proposed right-of-way at no cost to the State.

Upon completion of construction, the City shall provide for maintenance of items such as traffic signals, signs, islands, curbs, and marking necessary for the purpose of regulating, warning, and guiding traffic. The City shall also mark and sign railroad-highway grade crossings in accordance with the requirements of the current edition of the Manual on Uniform Traffic Control Devices for Streets and Highways.

There was no public involvement on this project.



#### STATE OF ARIZONA

OFFICE OF THE ATTORNEY GENERAL

1275 WEST WASHINGTON, PHOENIX 85007-2926

TRN Main: (602) 542-1680 Direct: (602) 542-8837

Fax: (602) 542-3646 MAIN PHONE: 542-5025 TELECOPIER: 542-4085

# INTERGOVERNMENTAL AGREEMENT DETERMINATION

A.G. Contract No. KR97-1874TRN, an agreement between public agencies, has been reviewed pursuant to A.R.S. § 11-952, as amended, by the undersigned Assistant Attorney General who has determined that it is in the proper form and is within the powers and authority granted to the State of Arizona.

No opinion is expressed as to the authority of the remaining parties, other than the State or its agencies, to enter into said agreement.

DATED September 25, 1997.

GRANT WOODS Attorney General

JAMES R. REDPATH

Assistant Attorney General

Transportation Section

JRR:et/7869

Enc.

**GRANT WOODS** 

ATTORNEY GENERAL